## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Fernandez, D. Docket No.: FERN-P013

Serial No.: (Not yet assigned) Group Art Unit: (Not yet assigned)

Filed: 8/22/03 Examiner: (Not yet assigned)

Title: Integrated Biosensor and Simulation System for Diagnosis and Therapy

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring the enclosed printed publications and U.S. patent references to the attention of the Examiner.

Applicant respectfully submits that Applicants' claimed invention is patentable over such references. Forms PTO/SB/08A and PTO/SB/08B listing these references are attached. It is understood the Office may find additionally relevant material in these or other references

Respectfully submitted,

DENNIS S. FERNANDEZ, ESQ.

. Reg. No. 34,160

FERNANDEZ & ASSOCIATES, LLP

PATENT ATTORNEYS

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8/22/03

Date

Docket No: <u>FERN-P013</u> Application No. : Not Yet Assigned

Send Correspondence and Communications to:

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PATENT TRADEMARK OFFICE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and beliefs are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor:	
DENNIS S. FERNANDEZ	
Inventor's signature	Date
	8/22/03
Residence:	
1175 OSBORN AVENUE, ATHERTON, CA 94027	
Citizenship:	
UNITED STATES OF AMERICA	
Postal Address:	
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Attorney Docket Number

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US- 6, 402, 689 31	6/11/2002	Scarantino, et al.	
	2.	US- 6,122,536	9/19/2000	Sun, et al.	
	3.	<sup>US-</sup> 6,558,957 B1	5/6/2003		
	4.	US- 6, 285, 897 B1	9/4/2001		
	5.	US- 6, 490, 030 B1	12/3/2002	Gill, et al	
	6.	US- 6,358,2002 B1	3/19/2002	Arent	
	7.	US- 6, 546, 269 81	4/8/2003	Kurnik	
	8.	US- 6,315, 720 B1	11/13/2001	Williams, et al	
	٩.	US- 6,542,858 B1	4/1/2003		
	10.	US- 6,454,708 81	9/24/2002	Ferguson, et al.	
	n.	US-6,561,978 B1	5/13/2003		
	12	US- 6,553,244 B2	4/22/2003		
	13	US-6,551,838 BZ	4/22/2003	Santini Jr. et al	
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	15	US-6,514,689 BZ	2/4/2003	Han, et al.	
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	19	US-6,544, 193 BZ	04/08/2003	Abrey, et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY	- FF	Or Relevant Figures Appear	T <sup>6</sup>		
	1.	WO 94 100818	01/06/1994					

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Application Number	Not Yet Assigned				
Filing Date	8/22/03				
First Named Inventor	Fernandez, D.				
Art Unit	Not yet Assigned				
Examiner Name	Not yet Assmed				
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	20	US- 2002/015/8/6 Al	10/17/2002	Rich, et al.	
	21.	05-6,458,086 B1	10/01/2002	Fraco, et al.	
	22.	US- 6,575,912 B1.	06/10/2003		
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	27	US- 5,328,847	7/12/1994	Case, et al.	
		US-			

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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STA	STATEMENT BY APPLICANT			First Named Inventor	FERNANDEZ, D.
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Sheet	3_	of	9	Attorney Docket Number	FERN-POI3

F	C:t-	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1.	AFFECTIVE JEWELRY; AFFECTIVE COMPUTING RESEARCH PROJECT, BY JEHHIFER HEALEY & GRANT GOULD.	
	2.	HYBRID BIOSENSORS; DAVID A. BORKHOLDER, B. DEREK DE BUSSCHERE, DAVID STENGER	
	3.	BIOSENSORS; CINDY TONNESEN AND GARY WITHROW; HTTP: // www. HITL. WASHINGTON, EDU/SCIVW/EVE/I.D. 1. C. BIOSENSORS, HTML.	
	4.	PORTABLE CELL-BASED BIOSENSORS; B. DEREK DEBUSSCHERE AND GREGORY. T. A. KOVACS; ELECTRICAL ENGINEERING DEPARTMENT,	
		CENTER FOR INTEGRATED SYSTEMS, STANFORD UNIVERSITY	
	5.	PLASTIC - BASED DISPOSABLE SMART BIOCHIPS WITH INTEGRATED BIOSENSORS FOR BLOOD ANALYSIS AND CLINICAL DIAGNOSTICS; CHONG H.AHN &	
		JIN-WOO CHOI, MICRO SYSTEMS AND BIOMEMS LAB.  DEPARTMENT OF ELECTRICAL & COMPUTER ENGINEERING AND	
		COMPUTER SCIENCE, UNIVERSITY OF CINCINNATI	
	6.	PAUL ELIAS; "BIOTECH INVESTORS TURN TO MOVE EXOTIC MANUPULATION MAIL & GUARDIAN ONUNG, WEDNESDAY, JULY 09, 2003	

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Signature	Considered

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STA	STATEMENT BY APPLICANT			First Named Inventor	FERNANDEZ, D.
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Sheet	4	of	9	Attorney Docket Number	FERN- POI3

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	7.	JIM ROGERS, JULIE QUICK, "USDA STRENGTHENS 2003 PERMIT CONDITIONS FOR FIELD TESTING GENETICALLY ENGINEERED PLANTS".	
	8.	HUI DU, MATTHEW D. DISNEY, BENJAMIN L. MÎLLER, AND TODD D. KRAUS HYBRÎDIZATÎON-BASED UNQUENCHING OF DNA HAIRPING ON AU SURFACES	
		PROTOTYPICAL "MOLECULAR BEACON" BIOSENSORS."	
	9.	THE OUTCRY OVER "TERMINATOR" GENES IN FOOD;  http://www.businessweek.com:/print/magazine/convent/03_28/	,
		b3841091.htm?	
· · · · · · · · · · · · · · · · · · ·	10.	"MORE DELICIOUS DELICACIES, THANKS TO TECH".  http://www.businessweek.com:/print/technology/content/jul 2003/tc 20037	}
,	1(.	"FOOD SAFETY IN THE FOOD MANUFACTURING INDUSTRY"; PAUL SAVELLO'S DAIRY TECHNOGY SPECIALIST USAID-KBS; ARTICLE IN 'KOHA -	
		DITORE - 11 DECEMBER, 2002	
	12.	FOOD SCIENTISTS TO ASSESS BIOTERRORISM PISK"; RELITERS, JULY 15,2003	
	13.	HAD WU, WILLIAM N. HAIT & JIN-MING YANG ; "SMALL INTERFERING RNA- INDUCED SUPPRESSION OF MDR1 (P-GLYCOPROTEIN) RESTORES SENSITIVITY TO MULTIDRUG-RESISTANT CANCER CEUS; CANCER RESEARCH	-

Examiner	Date	
Signature	Considered	

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Substitute f	or form 1449B/PTO				Complete if Known
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STA	<b>FEMENT BY</b>	<b>APPLIC</b>	ANT	First Named Inventor	FERNANDEZ, D.
				Art Unit	NOT YET ASSIGNED
	(Use as many sheets	as necessary)	,	Examiner Name	MOT JET ASSIGNED
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	14.	LABORATORY TESTS THAT DETECT CANCER; THE CANCER CURE FOUNDATION WWW.CANCURE.ORG.	/
	15.	CANCER SLEUTHING; SF CHRONICLE, BUSINESS SECTION; SUNDAY JULY 6, 2003	
	16.	RAJA MĪSHRA; ADVANCES BEGIN TO TAME CANCER; HTTP://www.boston.com/	1
	17.	NEW TEST MAY IMPROVE CANCER DETECTION; REUTERS; JULY 17, 2003; http://www.reuters.com/newsArticle.jhtml?type=healthNews	
	***************************************	& story ID= 3112050 & from Email=true	
	R.	GENOMIC ADVANCES OF PAST DECADE ARE REAL: ORDONEZ; By TONY STRATTHER, Bio-IT WORLD.	
		http://www.imakenews.com/nealth-it world/e-article 000165177.cfm? x = alvsry1, avf8g1N	
	19.	GENE PROFILING MAY HELP TREAT CANCER; Procedure could reduce unnecessary use of certain daugs.	
	20.	BIOSENSORS: MICROFLECTRONKS MARRIES BIOLOGY; BY JEHHIFER QUELLETTE; SEPTEMBER 1998 @ AMERICAN INSTITUTE OF PHYSICS	1 1
	21.	CMOS-ONLY SENSORS AND MANIPULATORS FOR MICROORGANISMS; G.MEDORO, N. MANARESI, M. TARTAGNI AND R. GUERRIGRI	/

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Signature	Considered	,

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Substitute for form 1449B/PTO	Complete if Known		
	Application Number	HOT JET ASSIGNED	
INFORMATION DISCLOSURE	Filing Date	8/22/03	
STATEMENT BY APPLICANT	First Named Inventor	FERNANDEZ, D.	
	Art Unit	NOT JET ASSIGNED	
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		CELL-BASED DETECTOR LIGHTS UP FOR DEADLY GERMS;	
	22.	BY MAGGIE FOX; RELITERS; http://www.reuters.com/printer	11
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		ZUCKERMAN, LAURIE ; TAKING THE GUESSWORK OUT OF CANCER	
	₽5.	TREATMENT SICEL TECHNOLOGIES; BUSINESS LEADER; SICEL	
		TECHNOLOGIES.	
		SHORT-TERM CANINE IMPLANATATION OF A GUI DSE MONITORING	
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i	STAT	EMENT BY	APPLI	CANT	First Named Inventor	FERNANDEZ,D.
					Art Unit	NOT JET ASSIGNED
		(Use as many sheets a	s necessary)		Examiner Name	NOT YET ASSIGNED
abla	Sheet	7	of	9	Attorney Docket Number	FERN-POIS

		NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	27.	MEDICAL MICROMACHINES: NEW ATDC COMPANY DEVELOPING IMPLANTABLE BLOOD PRE AND FLOW SENSOR BASED ON
		MICRO ELECTRO-MECHANICAL SYSTEMS (MEMS) TECHNOLOGY ATDC NEWS.
	28.	MINIATURE IMPLANTABLE SENSOR LIFELY LIFESAVER FOR PATIENTS; OAK RIDGE, TENN, NOV 22, 2002
	29.	MEDIDANIC NEWS RELEASES
		DEMONSTRATES ACCURACY AND FEASIBILITY OF ARTIFICIAL PANCREAS COMMONENTS
	30.	"A MATHEMATICAL ANALYSIS USING FRACTALS FOR BINDING INTERACTIONS OF NUCLEAR ESTROGEN RECEPTORS OCCURING ON BIOSENSOR
		SURFACES".; ANAND RAMAKAISHNAN AND AJĪT SADANA; CHEMICAL ENGINEERING DEPARTMENT, UNĪVERSĪTY OF MĪSSĪSSĪPI, P.O. BOX 1848
	31.	"PLASTIC-BASED DISPOSABLE SMART BIOCHIPS WITH INTEGRATED BIOSENSORS FOR BLOOD ANALYSIS AND CUNICAL DIAGNOSTICS."
		CHONG H. AHN & JIN-WOO CHOI, MICROSYSTEMS AND BIOMEMS LAB DEPARTMENT OF ELECTRICAL & COMPUTER SCIENCE UNIVERSITY
		OF CINCINNATI; http://www.healthtech.com/2001/mfb/abstracts/ahn.htm.

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STATE	MENT BY	APPLIC	CANT	First Named Inventor	FERNANDEZ, D.	
				Art Unit	NOT JET ASSIGNED	
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Sheet	8	of	. 9	Attorney Docket Number	FERN-POI3	

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	32.	"GENE VARIANT TIED TO INCREASED SUSCEPTIBILITY TO CANCER RESEARCH FIND OTHER FACTORS ARE ALSO PART OF EQUATION."
		DAVID PERLMAN; WEDNESDAY, AUGUST 6, 2003.  URL: http://sfgate.com/cgi-bin/article.cgi?f=/e/a/2003/08/06/
		MN131526,DTL.
	33.	"CANARY CHIP WARNS OF DANGER FROM BIOAGENTS"; RHONDA ASCIERTO; WWW. SVbizink.com; Friday, June 20, 2003.
		"Microappays & PROTEIN CHIPS GLOSSARY",  http://www.genomicglossaries.com/content/printpage.asp? REF =/content/
		Microarrays & protein
	35,	"MICROARRAYS & PROTEIN CHIPS CATEGORIES";  http://www.genomicglossaries.com/content/printpage.asp?REF = /content/ Microarrays & protein
	<i>3</i> 6.	JIM ROGERS; JULIE QUICK; "USDA STRENGTHENS 2003 PERMIT CONDITIONS FOR FIELD TESTING GENETICALLY ENGINEERED
		PLANTS 1; http://www.usda.gov/news/releases/2003/03/aphis 030603. htm.

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STATEMENT BY APPLICANT  (Use as many sheets as necessary)				First Named Inventor	FERNANDEZ, D.	
				Art Unit	NOT YET ASSIGNED	
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,	39.	"WIRELESS NETWORKING SOLUTIONS FOR SMART SENSOR BIOMEDICAL APPLICATIONS", BY SCHWIEBERT, LOREN; WAYNE STATE UNIVERSITY	
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		STATE CIRCUITS CONFERENCE.	
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